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EXAMINER

ABDALLA, KHALID M

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/583,569	Applicant(s) CHEN ET AL.	
	Examiner KHALID ABDALLA	Art Unit 2419	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 19 June 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) 2,5 and 10-13 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,3,6-9 and 14-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>03/16/2007 and 06/19/2006</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Respond to Amendment

1. This communication is considered fully response to the Amendment filed on 03/10/2009. The following is the new ground rejection.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1, 3- 4 and 6- 9 ,14-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Berg (US 20020112085 A1) in view of Ferrari et al (US 20040078419 A1) hereinafter referred to as Berg and Ferrari respectively.

Regarding claim 1, Berg discloses A method for selecting egresses of a multi-ISP (multiple ISP see FIG.2b and FIG.2c) local area network, the local area network comprising a routing switch, which comprises an egress user board for processing of the ISP egresses (the n servers is connected to a flow switch at egress [0059] LINES 1-2 and FIG. 1b), the method comprising the steps of:
providing a network address translation (NAT) board in the routing switch (the flow switch manipulates (e.g. rewrites) the packets in the course of performing "translation" operations such as TCP splicing, NATs, and checksum calculations see [0228] lines 4-7)
presetting a NAT address pool corresponding to each of the ISP egresses;

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querying in a routing table upon request of an outgoing packet from the local area network (the flow switch (a) maps packets from the flow switch's ingress port to the selected server through a suitable one of the flow switch's egress ports, (b) maps packets from the selected server to the particular client, and (c) performs various administrative operations. In processing a packet that is communicated between a server and a client, the conventional flow switch performs a range of operations, which may include network address translation ("NAT" see [0061] lines 6-14), and determining a next hop of the route for the packet; and wherein the step of presetting a NAT address pool (the flow switch manipulates (e.g. rewrites) the packets in the course of performing "translation" operations such as TCP splicing, NATs, and checksum calculations see [0228] lines 4-7) corresponding to each of the ISP

egresses comprises the steps of:

binding each of outgoing interfaces connected with the ISP with a corresponding one of the NAT address pools (a client that communicate with one another through a global computer network with IP socket-based applications. In this example, a server farm (including n servers, where n is an integer number) stores the applications to be deployed. Server farms are useful for deploying software applications (e.g. web site application or Internet gaming site application) for use through a global computer network see [0058] lines 1-10 and fig. 1b also such techniques would perform (a) network address translations in IP packets that are communicated between clients and

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specified servers in the server farm and (b) TCP splicing (e.g. rewriting of sequence numbers) see [0179] lines 6-10) and [00231]

wherein leaf nodes of the NAT policy tree store a binding relation between each of the outgoing interfaces connected with the ISP and the corresponding NAT address pool and the NAT policy information of the slot number of the NAT board (the selected server through a suitable one of the flow switch's egress ports, (b) maps packets from the selected server to the particular client, and (c) performs various administrative operations. In processing a packet that is communicated between a server and a client, the conventional flow switch performs a range of operations, which may include network address translation ("NAT"), checksum calculation, and TCP sequence number rewriting ("TCP splicing" see [0061] lines 7-15 and fig.2b)

Berg does not explicitly disclose determining whether it is necessary to perform NAT at the ISP egress corresponding to the next hop of the route; and if yes, selecting one of the NAT address pools corresponding to the ISP egress, performing corresponding NAT by the NAT board, and forwarding the packet to the egress user board corresponding to the ISP; otherwise, forwarding the packet to the egress user board corresponding to the ISP.

Creating a NAT policy tree in accordance with a combination of the outgoing interface and the source IP address as a keyword upon request for access. Ferrari from the same or similar endeavor teach determining whether it is necessary to perform NAT at the ISP egress corresponding to the next hop of the route (flow entry and next-hop address to destination NAT IP address [0515]); and if yes, selecting one of the NAT address pools

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corresponding to the ISP egress, performing corresponding NAT by the NAT board, and forwarding the packet to the egress user board corresponding to the ISP; otherwise, forwarding the packet to the egress user board corresponding to the IS (NAT, is performed on packets sent to or from a virtual IP address. In FIG. 29 above, a client connected to the Internet will send a packet to a virtual IP address representing a virtual domain. The load-balancing function will select a physical server to send the packet to. NAT results in the destination IP address (and possibly the destination TCP/UDP port, if port multiplexing is being used) are changed to that of the physical server. The response packet from the server also has NAT performed on it to change the source IP address (and possibly the source TCP/UDP port) to that of the virtual domain see[0432] lines 1-12),

creating a NAT policy tree in accordance with a combination of the outgoing interface (The packet will be associated with the NFS policy domain and a NAT (network address translation--described below) takes place, with the destination address that of the NFS policy domain see [0213] lines 11-15) and the source IP address as a keyword upon request for access (a NAT is performed again to make the destination the IP address of the Gold policy domain. The packet now gets associated with the Gold policy domain. The process continues with the configuration for the Gold policy being loaded in and a decision being made based on the configured policy. At this point a load balancing decision is made to pick the best server to handle the request. Once the server is picked, NAT is again performed and the destination IP address of the server is set in the packet. Once the destination IP address of the packet becomes a device configured for

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load balancing, a switching operation is made and the packet is sent out of the box see [0 213],

Thus it would have been obvious to one of ordinary skill in the art to implement the method of Ferrari et al in the system of Berg the method of Berg can be implemented on any type of method determining whether it is necessary to perform NAT at the ISP egress corresponding to the next hop of the route; and if yes, selecting one of the NAT address pools corresponding to the ISP egress, performing corresponding NAT by the NAT board, and forwarding the packet to the egress user board corresponding to the ISP; otherwise, forwarding the packet to the egress user board corresponding to the ISP.

Creating a NAT policy tree in accordance with a combination of the outgoing interface and the source IP address as a keyword upon request for access which is taught by Ferrari with a motivation to provide dynamic data content replication under NFS servers

Regarding claim 3, note that Berg discloses the method for selecting egresses of a multi-ISP (multiple ISP see FIG.2b and FIG.2c) local area network, wherein the step of determining whether it is necessary to perform NAT (the protocol stack thread avoids the need to perform network address translations SEE [0180]). comprises the steps of: detecting whether there is a public network flag in The routing table item hit by the subscriber traffic (the client opens a TCP type of connection endpoint and attempts connection through an IP network to a web server through the web server's advertised IP address on the standard web service TCP port SEE [0051]). if yes, determining whether one of the leaf nodes of the NAT policy tree is hit in accordance with the

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combination of the outgoing interface and the source IP address as a keyword (a client connects to a server farm application by obtaining and connecting to a server's IP address, instead of a flow switch's IP address SEE [0066]); and if one of the leaf nodes of the NAT policy tree is hit, determining it is necessary to perform NAT; otherwise, determining it is unnecessary to perform NAT (the protocol stack thread avoids the need to perform network address translations SEE [0180]).

Regarding claim 4, note that Berg discloses the method for selecting egresses of a multi-ISP local area network (selected server through a suitable one of the flow switch's egress ports see [0061]). Wherein the step of selecting one of the NAT address pools corresponding to the ISP

Egress (The flow switch helps to balance client request loads see [0061]) comprises the steps of.. performing matching in the leaf nodes of the policy tree in accordance with the combination of the outgoing interface and the source IP address as a keyword(a client connects to a server farm application by obtaining and connecting to a server's IP address, instead of a flow switch's IP address SEE [0066]); and obtaining the address pool and the slot number (IP address and IP source Port number are the only connection lookup keys see[0236]), of the NAT board from the matched leaf node of the policy tree.

Regarding claim 6, note that Berg discloses The method for selecting egresses of a multi-ISP local area network (selected server through a suitable one of the flow switch's egress ports see [0061]), further comprising the steps of: classifying the routes of the local area network into a general route and a policy route, and setting a routing policy

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for the policy route, wherein the general route is a standby for the policy route (server 1 outputs response packets to clients through router A which is dedicated to server 1 for such purpose, and server 2 outputs response packets to clients through router C which is dedicated to server 2 for such purpose see [0076] and FIG. 2c).

Also note that Ferrari teaches the step of querying in a routing table upon request of an outgoing packet from the local area network and determining a next hop of the route for the packet (flow entry AND next-hop address to destination NAT IP address [0515])

comprising the steps of: determining the policy route and/or the general route corresponding to the next hop (categorize the frame and send it to the next hop in the tree see [0212]); determining whether the ISP the policy route is available; and if available, replacing the destination address route with the policy

Routing result; otherwise, utilizing the destination address route of the primary general route (based on policy and/or TOS bit a priority is assigned within the class. Classes are associated with a priority when compared to other classes see [0288]).

Regarding claim 7, note that Berg modified by Ferrari teaches the method for selectinggresses of a multi-ISP local area network (Berg: multiple ISP see FIG. 2b and FIG. 2c). Also note that Ferrari teaches, wherein the step of determining whether the policy route is available comprises the steps of: querying in the routing table in accordance with the next hop (Ferrari : categorize the frame and send it to the next hop in the tree see [0212]) of the policy route; and determining whether the next hop can hit the 32-bit mask mute (Ferrari : class priorities are assigned to packets based on the TOS bit setting and/or policy see [0288]) corresponding to a directly-connected host;

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and if yes, determining the policy route is available, otherwise, determining the policy route is unavailable (Berg : forwards each received packet to a server (whose IP address is specified in the packet) see [0076]).

Regarding claim 8, note that Berg modified by Ferrari teaches the method for selecting egresses of a multiMSP local area network (Berg: multiple ISP see FIG.2b and FIG.2c), wherein the step of determining a next hop of the route (Ferrari: flow entry and next-hop address to destination NAT IP address [0515])for the packet comprises the step of:

determining whether the route corresponds to a plurality of next hops; and if yes, performing traffic sharing by the plurality of corresponding ISPs (Berg: The flow switch helps to balance client request loads see[0061] also see[0162]).

Regarding claim 9, note that Berg modified by Ferrari teaches the method for selecting egresses of multi-ISP local area network (Berg: multiple ISP see FIG.2b and FIG.2c), wherein the muting switch comprises a routing module and a NAT module completely separated from each other (Ferrari : class priorities are assigned to packets based on the TOS bit setting and/or policy see [0288]), wherein the routing module determines route egress for the subscriber traffic (Berg: the client opens a TCP type of connection endpoint and attempts connection through an IP network to a web server through the web server's advertised IP address on the standard web service TCP port SEE [0051]); and the NAT module determines whether to perform NAT and which NAT address pool to be selected (Berg: the flow switch helps to balance client request loads see [0061]).

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Regarding claim 14, note that Berg discloses the method for selecting egresses of a multi-ISP (multiple ISP see FIG.2b and FIG.2c), local area network further comprising the steps of: classifying the routes of the area network into a general route and a policy route, and setting a routing policy for the policy route, wherein the general route is a standby for the policy route(server 1 outputs response packets to clients through router A which is dedicated to server 1 for such purpose, and server 2 outputs response packets to clients through router C which is dedicated to server 2 for such purpose see[0076]and FIG.2c); also note that Ferrari teaches the step of querying in a routing table upon request of an outgoing from the local area network determining a next hop of the route for the packet (flow entry AND next-hop address to destination NAT IP address [0515]) comprising the steps of: determining the policy route and/or the general route corresponding to the next hop; determining whether the ISP corresponding to the policy route is available; and if available, replacing the destination address route with the policy routing result; otherwise, utilizing the destination address route of the primary general route (based on policy and/or TOS bit a priority is assigned within the class. Classes are associated with a priority when compared to other classes see [0288]).

Regarding claim 15, note that Berg modified by Ferrari teaches the method for selecting egresses of a multiMSP local area network (Berg: multiple ISP see FIG.2b and FIG.2c), wherein the step of determining whether the policy route is available (Ferrari: based on policy and/or TOS bit a priority is assigned within the class. Classes are associated with a priority when compared to other classes see [0288]). comprises the steps of: querying in the

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routing table in accordance with the next hop of the policy route; and determining whether the next hop can hit the 32-bit mask route (Ferrari : class priorities are assigned to packets based on the TOS bit setting and/or policy see [0288]) corresponding to a directly-connected host; and if yes, determining the policy route is available, otherwise, determining the policy route is unavailable (Berg : forwards each received packet to a server (whose IP address is specified in the packet) see [0076]).

Regarding claim 16, note that Berg modified by Ferrari teaches The method for selecting egresses of a multi-ISP local area network (Berg: multiple ISP see FIG.2b and FIG.2c), wherein the step of determining a next hop of the route for the packet (Ferrari: flow entry and next-hop address to destination NAT IP address [0515]) comprises the step of: determining whether the route corresponds to a plurality of next hops; and if yes, performing traffic sharing by the plurality of corresponding ISPs (Berg: The flow switch helps to balance client request loads see[0061] also see[0162]).

Regarding claim 17, note that Berg modified by Ferrari teaches the method for selecting egresses of a multi-ISP (Berg: multiple ISP see FIG.2b and FIG.2c) local area network, wherein the routing switch comprises a routing module and a NAT module completely separated from each other (Ferrari : class priorities are assigned to packets based on the TOS bit setting and/or policy see [0288]), wherein the routing module determines route egress for the subscriber traffic (Berg: the client opens a TCP type of connection endpoint and attempts connection through an IP network to a web server through the web server's advertised IP address on the standard web service TCP port SEE [0051]); and the NAT

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module determines whether to perform NAT and which NAT address pool to be selected (Berg: the flow switch helps to balance client request loads see[0061]).

Regarding claim 18, note that Berg discloses The method for selecting egresses of a multMSP (multiple ISP see FIG.2b and FIG.2c) local area network further comprising the steps of: classifying the routes of the local area network into a general route and a policy route, and setting a routing policy for the policy route, wherein the general route is a standby for the policy route (server 1 outputs response packets to clients through router A which is dedicated to server 1 for such purpose, and server 2 outputs response packets to clients through router C which is dedicated to server 2 for such purpose see[0076]and FIG.2c);; also note that Ferrari teaches the step of querying in a routing table upon request of an outgoing packet from the local area network and determining a next hop of the route for the packet (flow entry AND next-hop address to destination NAT IP address [0515]) comprising the steps of: determining the policy route and/or the general route corresponding to the next hop; determining whether the ISP egress corresponding to the policy route is available; and if available, replacing the destination address route with the policy routing result; otherwise, utilizing the destination address route of the primary general route(based on policy and/or TOS bit a priority is assigned within the class. Classes are associated with a priority when compared to other classes see [0288]).

Regarding claim 19, note that Berg modified by Ferrari teaches the method for selecting egresses of a multMSP (Berg: multiple ISP see FIG.2b and FIG.2c) local area network wherein the step of determining whether the policy route is available (Ferrari:

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based on policy and/or TOS bit a priority is assigned within the class. Classes are associated with a priority when compared to other classes see [0288]). Comprises the steps of: querying in the routing table in accordance with the next hop of the policy route; and determining whether the next hop can hit the 32-bit mask route (Ferrari : class priorities are assigned to packets based on the TOS bit setting and/or policy see [0288]) corresponding to a directly-connected host; and if yes, determining the policy route is available, otherwise, determining the policy route is unavailable (Berg : forwards each received packet to a server (whose IP address is specified in the packet) see [0076]).

Regarding claim 20, note that Berg modified by Ferrari teaches the method for selecting egresses of a multMSP (Berg: multiple ISP see FIG.2b and FIG.2c) local area network wherein the step of determining a next hop of the route (Ferrari: flow entry and next-hop address to destination NAT IP address [0515]) for the packet comprises the step of:

Determining whether the route corresponds to a plurality of next hops; and if yes, performing traffic sharing by the plurality of corresponding ISPs (Berg: The flow switch helps to balance client request loads see [0061] also see [0162]).

Regarding claim 21, note that Berg modified by Ferrari teaches the method for selecting egresses of a multMSP (Berg: multiple ISP see FIG.2b and FIG.2c) local area network, wherein the routing switch comprises a routing module and a NAT module completely separated from each other (Ferrari : class priorities are assigned to packets based on the TOS bit setting and/or policy see [0288]), wherein' the routing module determines route egress for the subscriber traffic (Berg: the client opens a TCP type of

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connection endpoint and attempts connection through an IP network to a web server through the web server's advertised IP address on the standard web service TCP port SEE [0051] ; and the NAT module determines whether to perform NAT and which NAT address pool to be selected (Berg: the flow switch helps to balance client request loads see[0061]).

Respond to Remarks /Arguments

4. Claim Rejection: Applicant arguments filed on 03/10/2009 have been fully considered but they are not persuasive regarding 35 U.S.C. 103(a) Rejection.

On claim 1, applicant assert that Berg (the primary prior art) fail to disclose "the binding relation between each of the outgoing interfaces connected with the ISP and the corresponding NAT address pool and the features creating a NAT policy tree in accordance with a combination of the outgoing interface and the source IP address as a keyword upon request for access, wherein leaf nodes of the NAT policy tree store a binding relation between each of the outgoing interfaces connected with the ISP and the corresponding NAT address pool and the NAT policy information of the slot number of the NAT board. The prior art does teach the limitation (see [0058] lines 1-10 and [0179] lines 6-10 and [00231].

(a client that communicate with one another through a global computer network with IP socket-based applications. In this example, a server farm (including n servers, where n

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is an integer number) stores the applications to be deployed. Server farms are useful for deploying software applications (e.g. web site application or Internet gaming site application) for use through a global computer network see [0058] lines 1-10 and fig. 1b also such techniques would perform (a) network address translations in IP packets that are communicated between clients and specified servers in the server farm and (b) TCP splicing (e.g. rewriting of sequence numbers) see [0179] lines 6-10) and [00231].

Also please see [0061] lines 7-15 and FIG. 2b regarding wherein leaf nodes of the NAT policy tree store a binding relation between each of the outgoing interfaces connected with the ISP and the corresponding NAT address pool and the NAT policy information of the slot number of the NAT board (the selected server through a suitable one of the flow switch's egress ports, (b) maps packets from the selected server to the particular client, and (c) performs various administrative operations. In processing a packet that is communicated between a server and a client, the conventional flow switch performs a range of operations, which may include network address translation ("NAT"), checksum calculation, and TCP sequence number rewriting ("TCP splicing" see [0061] lines 7-15 and fig.2b) .

Based on fact, Examiner respectfully disagrees the prior art recited does not disclose the limitation and both the primary and secondary prior arts fail to disclose the entire limitations of claim 1.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to KHALID ABDALLA whose telephone number is (571)270-7526. The examiner can normally be reached on Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dang Ton can be reached on 571-272-3171. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/K. A./

Examiner, Art Unit 2419

/Robert W Wilson/

Primary Examiner, Art Unit 2419